FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### OMB APPROVAL OMB Number: 3235-0287

0.5

Estimated average burden hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Dell Technologies Inc.						2. Issuer Name <b>and</b> Ticker or Trading Symbol VMWARE, INC. [ VMW ]									. Relationship of Reporting Person(s) to Issuer Check all applicable)  Director X 10% Owner				
(Last) (First) (Middle) ONE DELL WAY					3. Date of Earliest Transaction (Month/Day/Year) 11/01/2021										Officer (gir below)	e title		Other (s below)	pecify
(Street) ROUND ROCK TX 78682					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person					
(City) (State)		State)	(Zip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					te onth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction D Code (Instr.		4. Secur Dispose	. Securities Acquired (A) o isposed Of (D) (Instr. 3, 4 a			r 5. Amount of Securities Beneficially Following F Transaction		6. Own Form: (D) or I (I) (Inst	Direct ndirect tr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and				(11150.4)
Class A Common Stock 11/0:					1/2021			J <sup>(1)</sup>		30,678	3,605	D	\$0	0		D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Ex	Date Exer piration D onth/Day/	ate		7. Title and Amour Securities Underly Derivative Securit 3 and 4)		derlying	ing Derivative		per of ve es ially	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Dai Exc	te ercisable		piration ite	Title	Nu	nount or mber of ares		Reported Transaction(s (Instr. 4)			
Class B Common Stock	(2)	11/01/2021		J <sup>(2)</sup>			307,221,836		(2)		(2)	Class . Comm	on   30	7,221,836	(2)	0		D	

#### **Explanation of Responses:**

- 1. Reflects the distribution of shares of Class A Common Stock of VMware, Inc. (the "Issuer") by the reporting person to its stockholders on a pro rata basis.
- 2. Reflects the distribution of shares of Class B Common Stock of the Issuer by the reporting person to its stockholders on a pro rata basis (together with the distribution described in footnote 1, the "Distribution"). Immediately following, and automatically as a result of, the Distribution, and prior to receipt thereof by the reporting person's stockholders, each share of Class B Common Stock converted into one share of Class A Common Stock of the Issuer.

### Remarks:

Dell Technologies Inc. By: /s/ Robert Potts, Senior Vice

11/02/2021

President & Assistant Secretary \*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.