SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-028									
Estimated average burden									
hours per response:	0.5								

to Sec obligat	tion 16. Form 4 tions may conti ction 1(b).	or Form 5	0.711	Filed	pursuar or Sec	nt to S	Section 16(a)	of the Solvestmer	ecuriti nt Con	es Exchang	e Act of 1 f 1940	934		11	nated average bu s per response:	rden 0.5
1. Name and Address of Reporting Person* Dew Allison (Last) (First) (Middle) ONE DELL WAY (Middle) (Middle)					2. Issuer Name and Ticker or Trading Symbol Dell Technologies Inc. [DELL] 3. Date of Earliest Transaction (Month/Day/Year) 08/20/2020							heck all ap Dire	plicable)		Issuer Owner r (specify	
												belo	w)	keting Officer	v)	
(Street) ROUND (City)	ROCK TX		8682 Zip)		4. If A	mendi	ment, Date of	f Origina	l Filec	I (Month/Da	y/Year)		ne) X Forr	n filed by Or n filed by Mo	up Filing (Check ne Reporting Pe ore than One Re	rson
		Table	I - Non-	Deriva	tive S	ecui	rities Acq	uired,	Dis	posed of	, or Be	nefici	ally Ow	ned		
Date				Day/Year) if a		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr.				nd Secur Benet	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	(A) or (D)	Price	Trans	action(s) 3 and 4)		(11341.4)
Class C Common Stock 08/20/				08/20/2	2020			A		33,523	Α	\$ <mark>0</mark> 0	¹⁾ 2	02,065	D	
Class C Common Stock												1	0,398	I	By spouse	
		Tal					ties Acqui varrants,							d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	n Date,	4. Transac Code (II 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Expirati (Month/	on Da		7. Title a Amount Securitie Underlyi Derivativ Security 3 and 4)	of s ng e	8. Price of Derivative Security (Instr. 5)		Ownersh Form: Iy Direct (D) or Indirec (I) (Instr.	Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. Represents a grant of 33,523 restricted stock units ("RSUs"). The RSUs vest in three installments as follows: 20% on the first anniversary of the grant date, 30% on the second anniversary of the grant date and 50% on the third anniversary of the grant date, contingent on the reporting person's continued service on each applicable vesting date.

(D)

(A)

Date

Exercisable

Remarks:

/s/ Robert Potts, Attorney-in-Fact

Amount or Number

of Shares

Title

Expiration

Date

08/24/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.