FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
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|-------------|------|-------|

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|-------------------|---------------|------------------|

| | OMB APPROVAL | | | | | | | |
|---|---------------------|-----------|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | |
| | Estimated average b | ourden | | | | | | |
| 1 | hours per response: | 0.5 | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | or : | Sectio | n 30(h) |) of the | e Invest | tment | Company Act | of 1940 | | | | | | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|------------------------------|--------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------|-------------------------------|---------------|--------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---|--------------------------------------------------------------------------|--------------------------------------------------------------------|--|--|
| 1. Name and Address of Reporting Person* <u>Dell Technologies Inc</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol VMWARE, INC. [VMW] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner | | | | | | | | | |
| | CITIOTO | <u> </u> | | | | . | f =!:- | -4 T | | - () () - | -th (D - : 1)(:) | | | | Director Officer (give title | | | | Owner (specify | | |
| (Last) ONE DE | LL WAY | First) | (Middle |) | | 01/20 | | st Ira | nsactioi | n (Mor | nth/Day/Year) | | | | belo | w) | | below |) | | |
| (Street) | | | | | - 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | |
| ROUND | ROCK 7 | ГΧ | 78682 | | | | | | | | | | | | Form filed by One Reporting Person X Form filed by More than One Reporting Person | | | | | | |
| (City) | (| State) | (Zip) | | | | | | | | | | | | Pers | 5011 | | | | | |
| | | Tab | le I - I | Non-Deriv | ative | Sec | curiti | es A | cquir | ed, D | isposed o | of, or E | Benefic | cially | Own | ed | | | | | |
| Table I - Non-Derivative Securities Acquire 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) 2. A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code | | | 4. Securities Disposed Of | | | d 5) | | ties cially I Following | Forr (D) (| n: Direct | 7. Nature of Indirect Beneficial Ownership | | | | | | | | | | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | | | action(s) 3 and 4) | | | (Instr. 4) | | | |
| Class A Common Stock 11/01/20 | | 017 | 7 | | S | | 435,682 | D | \$109 | .31(1) | 30,6 | 30,678,605 | | | See footnote ⁽²⁾ | | | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversio or Exercis Price of Derivative Security | | Execu | eemed ution Date, :h/Day/Year) | 4. Transa Code (8) | | of Deri Secu Acqu (A) o Disp of (D | osed)) r. 3, 4 | Expi | ate Exe ration I nth/Day | | 7. Title Amour Securit Underl Derivat Securit and 4) | nt of ties ying | Der Sed (Ins | Price of ivative curity str. 5) | 9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | , | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | v | (A) | (D) | Date Exer | cisable | Expiration Date | Title | Amoun or Numbe of Shares | | | | | | | | |
| | nd Address chnolog | of Reporting Person ['] ies Inc | | | | | | | | | | | | | | | | | | | |
| (Last) ONE DE | LL WAY | (First) | (1 | Middle) | | | | | | | | | | | | | | | | | |

| Dell Technologies Inc | | | | | | | |
|---------------------------------|---------------------|----------|--|--|--|--|--|
| (Last) | (First) | (Middle) | | | | | |
| ONE DELL WAY | | | | | | | |
| (Street) | | | | | | | |
| ROUND ROCK | TX | 78682 | | | | | |
| (City) | (State) | (Zip) | | | | | |
| 1. Name and Address of EMC CORP | f Reporting Person* | | | | | | |
| (Last) | (First) | (Middle) | | | | | |
| ONE DELL WAY | | | | | | | |
| (Street) | | | | | | | |
| ROUND ROCK | TX | 78682 | | | | | |
| (City) | (State) | (Zip) | | | | | |

Explanation of Responses:

Remarks:

^{1.} The price per share paid for the Class A Common Stock sold in the reported transaction was calculated in the manner reported in the Form 4 filed by the Reporting Persons on September 13, 2017.

^{2.} The 435,682 shares of Class A Common Stock sold in the reported transaction, which closed on November 3, 2017, were held directly by EMC Equity Assets LLC, a direct wholly-owned subsidiary of EMC Corporation ("EMC"). Of the 30,678,605 shares of Class A Common Stock reported in Column 5 of Table I, (a) EMC is the record holder of 10,149,359 shares, (b) VMW Holdco LLC, a direct wholly-owned subsidiary of EMC, is the record holder of 20,000,000 shares, and (c) EMC Equity Assets LLC is the record holder of 529,246 shares. EMC is directly wholly owned by Dell Inc., which in turn is indirectly wholly owned by Dell Technologies Inc. through its directly held wholly-owned subsidiary Denali Intermediate Inc.

President & Assistant Secretary

EMC Corporation By: /s/ Janet

M. Bawcom, Senior Vice 11/03/2017

President & Assistant Secretary

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.