## SEC Form 4

П

I

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL

I	OMB Number:	3235-0287
l	Estimated average burde	en
	hours per response:	0.5

1. Name and Address of Reporting Person* <u>READ RORY P</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Dell Technologies Inc</u> [ DELL ]	(Check	ionship of Reporting Persor all applicable) Director Officer (give title	n(s) to Issuer 10% Owner Other (specify	
(Last) ONE DELL WAY	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 07/12/2019	Х	chief Operating Ex	below)	
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)			
ROUND ROCK	ТХ	78682		X	Form filed by One Reporti	ing Person	
(City)	(State)	(Zip)			Form filed by More than C Person	One Reporting	
	Та	ble I - Non-Deriva	tive Securities Acquired, Disposed of, or Benefic	cially C	)wned		

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Class C Common Stock	07/12/2019		М		50,000	A	\$26.67	63,448	D	
Class C Common Stock	07/12/2019		S		50,000	D	<b>\$54.03</b> <sup>(1)</sup>	13,448	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options to Acquire Class C Common Stock	\$26.67	07/12/2019		М			50,000	(2)	05/29/2025	Class C Common Stock	50,000	\$0	531,500 <sup>(3)</sup>	D	

Explanation of Responses:

1. The price reported in Column 4 represents a weighted average sales price of \$54.033. These shares were sold in multiple transactions at prices ranging from \$54.00 to \$54.06, inclusive. The reporting person undertakes to provide to Dell Technologies Inc., any security holder of Dell Technologies Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote.

2. The options are fully vested.

3. Consists of 182,600 time-based options and 348,900 performance-based options.

Remarks:

/s/ Robert Potts, Attorney-in-Fact

07/16/2019

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.