SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

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Instruction 1	nay continue. See (b).		Filed pursua	nt to Section 16(a)	of the Securiti	ies Exchange Act of 1934	Ļ	ho	urs per response:	0.5	
				ction 30(h) of the Ín							
1. Name and Address of Reporting Person <sup>*</sup> Rios Brunilda				uer Name <b>and</b> Tick I Technologie				ationship of Repo k all applicable)	Reporting Person(s) to Issuer ble)		
					<u></u> [ - ·	1		Director		Owner	
(Last) (First) (Middle)				te of Earliest Transa	action (Month	/Day/Year)	X	Officer (give title below)	below	(specify )	
ONE DELL	· · /	(Middle)	12/1	5/2021				Chief Acc	counting Office	r	
(Street)			4. If A	mendment, Date of	f Original Filed	d (Month/Day/Year)	6. Indi <sup>,</sup> Line)	vidual or Joint/Gi	roup Filing (Check	Applicable	
ROUND RO	СК ТХ	78682					X	Form filed by	One Reporting Per	son	
								Form filed by Person	More than One Re	porting	
(City)	(State)	(Zip)						Feison			
		Table I - Nor	n-Derivative S	Securities Acq	uired, Dis	posed of, or Benet	ficially	/ Owned			
1. Title of Secu	rity (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Followin	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial	

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr.		5)			Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Class C Common Stock	12/15/2021		F <sup>(1)</sup>		374	D	\$54.63	23,906 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D	r osed ) 7. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		Expiration Date Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Represents shares withheld by Dell Technologies Inc. (the "Issuer") for payment of the tax liability incurred upon the partial vesting of an award of restricted stock units ("RSUs") granted on December 15, 2020.

2. On November 1, 2021, the Issuer completed a special distribution of all of the shares of common stock of its former subsidiary, VMware, Inc., that it beneficially owned to its stockholders on a pro rata basis (the "Transaction"). In connection with the Transaction, the Issuer implemented an equitable adjustment to outstanding equity awards held by participants in the Issuer's 2013 Stock Incentive Plan in accordance with the terms of the plan. As a result, the 10,994 RSUs previously reported as beneficially owned by the reporting person were adjusted and the reporting person now beneficially owns 20,107 RSUs following the partial vesting referred to in footnote 1.

<u>/s/ Robert Potts, Attorney-in-</u>	12/1
Fact	12/1

7/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See