SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bur	den
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] CLARKE JEFFREY W				ier Name and Tick <u>Technologie</u>			(Check	tionship of Reportin all applicable) Director Officer (give title	10% C			
(Last) ONE DELL WAY	(First) Y	(Middle)		e of Earliest Transa 2/2021	action (Month/	Day/Year)		below)	ce Chairman			
(Street)				mendment, Date of	f Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
ROUND ROCK	TX	78682					X	Form filed by One Form filed by Mor				
(City)	(State)	(Zip)						Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			2. Transaction Date (Month/Dav/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial		

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)					Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
		Code	Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Class C Common Stock	02/02/2021		M ⁽¹⁾		100,000	A	\$13.75	484,445	D	
Class C Common Stock	02/02/2021		S ⁽¹⁾		95,750	D	\$77.07 ⁽²⁾	388,695	D	
Class C Common Stock	02/02/2021		S ⁽¹⁾		4,250	D	\$77.54 ⁽³⁾	384,445	D	
Class C Common Stock	02/03/2021		M ⁽¹⁾		13,946	A	\$13.75	398,391	D	
Class C Common Stock	02/03/2021		S ⁽¹⁾		13,946	D	\$ 76.75 ⁽⁴⁾	384,445	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Options to Acquire Class C Common Stock	\$ 13.75	02/02/2021		М			100,000	(5)	11/25/2023	Class C Common Stock	100,000	\$0	13,946	D	
Options to Acquire Class C Common Stock	\$13.75	02/03/2021		М			13,946	(5)	11/25/2023	Class C Common Stock	13,946	\$0	0	D	

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

2. The price reported in Column 4 represents a weighted average sales price of \$77.074. These shares were sold in multiple transactions at prices ranging from \$76.505 to \$77.50, inclusive. The reporting person undertakes to provide to Dell Technologies Inc., any security holder of Dell Technologies Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes 2 and 3.

3. The price reported in Column 4 represents a weighted average sales price of \$77.535. These shares were sold in multiple transactions at prices ranging from \$77.51 to \$77.59, inclusive.

Represents multiple sales transactions effected at the price of \$76.75.

The options are fully vested.

Remarks:

/s/ Robert Potts, Attorney-in-

Fact

02/04/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.